



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/768,037	01/22/2001	John F. Croix	SILI:004US

CONFIRMATION NO. 2676

## FORMALITIES LETTER



\*OC000000005831903\*

FULBRIGHT & JAWORSKI, LLP  
A Registered Limited Liability Partnership  
Suite 2400  
600 Congress Avenue  
Austin, TX 78701

Date Mailed: 03/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/02/2001 AGOITOM 00000024 09768037

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	540.00 OP
04 FC:102	400.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$940.
  - \$540 for 30 total claims over 20.
  - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1780.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to be a stylized 'M' or 'N' followed by a flourish.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
John F. Croix

Serial No.: 09/768,037

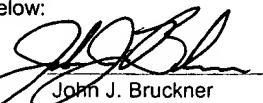
Filed: January 22, 2001

For: APPLICATION PERSONALITY

Group Art Unit: 2123

Examiner: Unknown

Atty. Dkt. No.: SILI:004US/JJB

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:	
April 25, 2001	
Date	John J. Bruckner

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated March 6, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of John F. Croix;
- (b) A Power of Attorney on behalf of SILICON METRICS CORPORATION;
- (c) Our check in the amount of \$1780.00 to cover the basic filing fee (\$710.00 - utility large entity); surcharge for late filing (\$130.00); additional claims fee (\$940.00); and

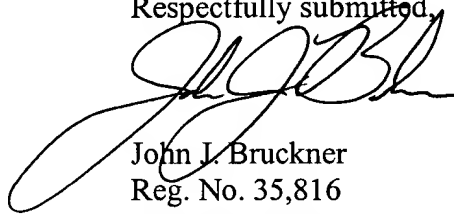
(d) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b)-Filing Date Granted.

An Assignment to SILICON METRICS CORPORATION and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10026311/JJB.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



John J. Bruckner  
Reg. No. 35,816  
Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: April 25, 2001



N # Seetol #3

# FULBRIGHT & JAWORSKI L.L.P.

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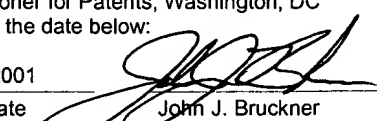
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JBRUCKNER@FULBRIGHT.COM

WRITER'S DIRECT DIAL NUMBER:  
512/536-3088

April 25, 2001

FILE: SILI:004US

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
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April 25, 2001	
Date	John J. Bruckner

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, DC 20231

RE: SN 09/768,037 "APPLICATION PERSONALITY" - John F. Croix

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed March 6, 2001;
- (2) Declaration of John F. Croix;
- (3) Power of Attorney;
- (4) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (5) Check in the amount of \$1780.00; and
- (6) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

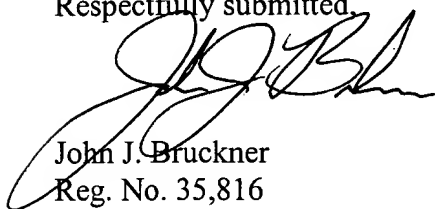
Commissioner for Patents

April 25, 2001

Page 2

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Respectfully submitted,



John J. Bruckner  
Reg. No. 35,816

JJB/mar

Encl: as noted